

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51214/DBP/T360
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	N/A
	Filing Date	December 2, 2003
	Applicant(s)	Tetsuya Miyazaki
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
DSK		5,999,289	12-07-1999	Ihara et al.
DSK		2001/0009467 A1	07-26-2001	Fujita
DSK		6,301,048 B1	10-09-2001	Cao
DSK		6,310,993 B1	10-30-2001	Cao et al.
DSK		2001/0046077 A1	11-29-2001	Akiyama et al.
DSK		6,330,383 B1	12-11-2001	Cai et al.
DSK		6,363,184 B2	03-26-2002	Cao
DSK		6,370,300 B1	04-09-2002	Eggleton et al.
DSK		6,430,347 B1	08-06-2002	Cain et al.
DSK		6,445,864 B2	09-03-2002	Jiang et al.
DSK		6,453,093 B2	09-17-2002	Xie et al.
DSK		6,490,398 B2	12-03-2002	Gruner-Nielsen et al.
DSK		6,496,615 B2	12-17-2002	Okuno

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	FOREIGN PATENT DOCUMENT Country Code ² - Number ⁴ - Kind Code ³ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

EXAMINER SIGNATURE	/David S. Kim/	DATE CONSIDERED	11/18/2006
--------------------	----------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51214/DBP/T360
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	N/A
	Filing Date	December 2, 2003
	Applicant(s)	Tetsuya Miyazaki
	Group Art Unit	N/A
	Examiner Name	N/A

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	FOREIGN PATENT DOCUMENT Country Code ² - Number ³ - Kind Code ⁴ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
DSK		H. OOI, ET AL., "40-Gbit/s WDM Automatic Dispersion Compensation with Virtually Imaged Phased Array (VIPA) Variable Dispersion Compensators" IEICE Trans. Commun., VOL E85-B, No. 2, 02/2002, pages 463-469
DSK		T. MIYAZAKI, ET AL., "Synchronous Optical Demultiplexing and Sampling of 80-Gb/s OTDM Signals by Optically Recovered Clock Using Mode-Locked Laser Diode and Symmetric Mach-Zehnder Switch" IEEE Photonics Technology Letters, Vol. 14, No. 12, 12-2002, pages 1734-1736

AAM PAS539025.1-* -12/2/03 11:36 AM

EXAMINER SIGNATURE	/David S. Kim/	DATE CONSIDERED	11/18/2006
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51214/DBP/T360
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	10/726,330
	Filing Date	December 2, 2003
	Applicant(s)	Tetsuya Miyazaki
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	FOREIGN PATENT DOCUMENT Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
DSK		YOKOYAMA, Y. et al., "Precise dispersion monitoring and dynamic compensation in 42.7-Gb/s long-haul transmission using an optical time domain level monitoring technique", Networking Research Laboratories, NEC Corporation, 2 pages, August 2003
DSK		TAKUSHIMA, YUICHI, et al., "Experimental Demonstration of In-Service Dispersion Monitoring in 960-km WDM Transmission System Using Optical Frequency-Modulation Method", IEEE Photonics Technology Letters, Vol. 15, No. 6, June 2003, pp. 870-872

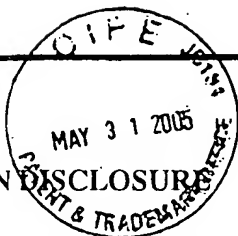
AAM PAS547198.1-02/4/04 2:03 PM

EXAMINER SIGNATURE	/David S. Kim/	DATE CONSIDERED	11/18/2006
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)



Attorney Docket Number	51214/DBP/T360
Application Number	10/726,330
Filing Date	December 2, 2003
Applicant(s)	Tetsuya Miyazaki
Group Art Unit	2633
Examiner Name	Jason Chan

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
DSK		JP2002-208892	07-26-2002	Nishimoto et al	w/English translation of Abstract
DSK		JP2003-32194	01-31-2003	Dinu et al	w/English translation of Abstract

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

DJP PAS625867.1-05/27/05 11:59 AM

EXAMINER SIGNATURE	/David S. Kim/	DATE CONSIDERED	11/18/2006
-----------------------	----------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE